

12/28/01
11025 U.S. PTO

1-2-02

A

Docket No. 1776-4070

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): ENDO et al.

Group Art Unit: TBA

Serial No.: TBA

Examiner: TBA

Filed: December 28, 2001

For: **METHOD FOR PRODUCING COPOLYMER OF ALKYL VINYL ETHER AND MALEIC ANHYDRIDE, AND COPOLYMER OF ALKYL VINYL ETHER AND MALEIC ANHYDRIDE**

11002 U.S. PTO
10/033673
12/28/01

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL 853249905 US

Date of Deposit: December 28, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Fee Transmittal;
2. Check in the amount of \$1070.00 (Filing Fee);
3. Recordation Form Cover Sheet;
4. Check in the amount of \$40.00 (Recordation Fee);
5. Assignment of application for Patent;
6. Preliminary Amendment;
7. Combined Declaration and Power of Attorney (7 sheets);
8. Utility Application (Spec. 54 pgs., Abst. 1 pg., Claims 6 pgs.);
9. Two (2) Sheets of Informal Drawings (Figs. 1 - 3);
10. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, DC 20231

Jesus Raul Remedios

(Typed or printed name of person mailing papers(s) and/or fee)



(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

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10033673-122801

Docket No. 1776-4070

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PATENT TRADEMARK OFFICE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL §(1.53(b))

Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- (1) Toshio ENDO
13-5 Kuba 4-chome
Ohtake-shi, Hiroshima, 739-0651, JAPAN
- (2) Tatsumi FUJII
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☒ Additional inventors are listed on a separate sheet

For: METHOD FOR PRODUCING COPOLYMER OF ALKYL VINYL ETHER AND
MALEIC ANHYDRIDE, AND COPOLYMER OF ALKYL VINYL ETHER AND
MALEIC ANHYDRIDE

Enclosed Are:

- 54 page(s) of specification
1 page(s) of Abstract
6 page(s) of claims
2 sheets of ☐ Formal ☒ Informal drawings
7 page(s) of Declaration and Power of Attorney
- ☐ Unsigned
☒ Newly Executed
☐ Copy from prior application
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☐ **REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i) (form PTO/SB/35)**

As indicated on the attached Request and Certification, Applicant(s) certify that the invention disclosed in the attached application HAS NOT and WILL NOT be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant(s) therefore request(s) that the attached application NOT be published under 35 U.S.C. §122(b).

☐ **Incorporation by Reference:**

☐ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ **Deletion of Inventors (37 C.F.R. §1.63(d) and §1.33(b))**

Signed statement attached deleting inventor(s) named in the prior application serial no. , filed

☐ **Microfiche Computer Program (Appendix)**

- ☐ page(s) of Sequence Listing
☐ computer readable disk containing Sequence Listing
☐ Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same

☒ **Assignment Papers (assignment cover sheet and assignment documents)**

- ☒ A check in the amount of \$40.00 for recording the Assignment
☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4500, Order No. 1776-4070
☐ Assignment Papers filed in the parent application Serial No.

☐ **Certification of chain of title pursuant to 37 C.F.R. §3.73(b)**

- ☒ Priority is claimed under 35 U.S.C. §119 for:
 Application No(s). 2000-403162 , filed 28 December 00, in JAPAN (country)
 Application No(s). 2001-228671 , filed 27 July 00, in JAPAN (country).

☐ Certified Copy of Priority Document(s) []

- ☐ filed herewith
☐ filed in application Serial No. , filed

☐ English translation document(s) []

- ☐ filed herewith
☐ filed in application Serial No. , filed

☐ **Priority is claimed under 35 U.S.C. §119(e) for:**
 Provisional Application No. , filed


- [illegible]

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$740.00
Total Claims	29- 20 =	9x	\$18.00	\$ 162.00
Independent Claims	5- 3 =	2x	\$84.00	\$ 168.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$280.00 (\$140.00)		\$
TOTAL:				\$ 1070.00

- ☐ Small entity status is or has been claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$.
- ☒ A check in the amount of \$1070.00 in payment of the application filing fees is attached.
- ☐ Charge fee to Deposit Account No. 13-4500, Order No. 1776-4070. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, **including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency** with the parent application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1776-4070 . A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: December 28, 2001

By: 
Matthew K. Blackburn
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FOOTNOTES